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November 17, 2003

Files: 4050.001200

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

November 17, 2003

Date

Shelley P.M. Fussey

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: *U.S. Patent Application Serial No. 09/806,036; Entitled: "Synthesis of Cyclic Peptides"; In the name of The University of Queensland; by Smythe et al.; Your Ref. VS:MB:FP14226*

Sir:

Enclosed for filing in the above-referenced patent application is:

- (1) An Amendment; and Response to Sequence Listing Notice dated September 16, 2003;
- (2) Statements as Required Under 37 C.F.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g);
- (3) A Sequence Listing Diskette and hard copy of the Sequence Listing;

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- (4) A copy of Notification of Defective Response;
- (5) A Request for an Extension of Time of one month, to and including November 16, 2003. As November 16, 2003 falls on a Sunday, the Response is timely filed on Monday, November 17, 2003;
- (6) A check for \$55.00 as the fee for the extension of time;
- (7) A Notice of Change of Correspondence Address; and
- (8) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4050.001200.

Respectfully submitted,

Williams, Morgan & Amerson, P.C.  
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Encls.



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/806,036	Mark Leslie Smythe	4050.001200

INTERNATIONAL APPLICATION NO.
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PCT/AU99/00813

I.A. FILING DATE	PRIORITY DATE
09/24/1999	09/25/1998

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*Resp to DA*  
 DOCKETED  
 FOR 10-16-03  
 BY *[Signature]*  
 CINDY *[Signature]*  
 Notification of  
 Defective Response

CONFIRMATION NO. 3406

371 FORMALITIES LETTER



\*OC000000010867898\*

Date Mailed: 09/16/2003

DOCKETING DEPT

## NOTIFICATION OF DEFECTIVE RESPONSE

SEP 26 2003

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Original Specification filed on 03/22/2001
- Drawings filed on 03/22/2001
- Claims filed on 03/22/2001
- Oath or Declaration filed on 03/22/2001
- Initial Application Filing Fees filed on 03/22/2001
- Small Entity Statement filed on 03/22/2001
- Copy of the International Application filed on 07/05/2001
- Copy of IPE Report filed on 07/05/2001
- Preliminary Amendments filed on 03/22/2001
- Information Disclosure Statements filed on 07/05/2001
- Oath or Declaration filed on 07/05/2001
- Small Entity Statement filed on 07/05/2001
- Request for Immediate Examination filed on 07/05/2001
- U.S. Basic National Fees filed on 07/05/2001
- Priority Documents filed on 07/05/2001

Applicant's response filed 07/05/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/11/2001 have not been completed.

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/806,036	PCT/AU99/00813	4050.001200